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# **Optimum Step for multistep prediction blind equalizer**

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#### Abstract

Blind equalizers may be implemented with linear prediction error filters (LPEF) but the delay (*D*) cannot be controlled with onestep predictors to minimize the mean square error (MSE). Consequently, multi-step prediction error filters (MSPEF) has been suggested as a solution to the problem. In this paper, a blind equalizer composed of the Godard algorithm (CMA) cascaded with MSPEF is proposed (CMA\_MSPEF), and we extract the optimum value of step for multi-step prediction blind equalizer. Simulation results show comparable improvements of the proposed equalizer relative to the LPEF or the MSPEF equalizers with optimum step.

#### Keywords: blind equalizers, CMA equalizers and Multistep equalizers.

#### 1. Introduction

A communication channel may introduce inter-symbol interference (**ISI**) in the received sequence. Blind equalization attempts to remove the **ISI** without a training sequence. If multiple samples per symbol are available at the receiver, blind equalizers can be derived from the second order statistics (**SOS**) of the received signal [4][6][8][9]. **MMSE** equalizers can be implemented with linear multi-channel prediction-error filters [1, 2] .For many practical channels, a small equalization error may be achieved by controlling the delay. Multi-step prediction has been suggested as a solution to the arbitrary-delay equalization problem [2][7]. The organization of the paper is as follows: the system model and the proposed algorithm are presented in Section 2. Simulation results are summarized in Section 3. Concluding remarks in Section 4, are summarized.

### 2. The CMA – MSPEF Proposed Model Algorithm

The proposed algorithm consists of a linear equalizer constant modulus algorithm (CMA) and multi-step forward prediction error

filter (MSPEF) in cascade. The continuous time received signal is:-

$$a(t) = \sum_{k=-\infty}^{\infty} s(k) h(t-k) + v(t)$$
(1)

where s(k) is the sequence of complex information symbols, h(t) is the complex baseband channel impulse response, and v(t) is an additive white Gaussian noise (**AWGN**). The fractionally spaced discrete-time model can be obtained by oversampling. A single-input single-output (**SISO**) system model results when the sampling rate at the receiver equals the symbol transmission rate. When several samples per symbol interval are taken, the system becomes single-input multiple-output (**SIMO**) as in fig.1



fig. 1. The CMA – MSPEF Proposed System

The corresponding **SIMO** model consists of P sub- channels (P  $\geq$  2). The ith subchannel response is defined as  $h_i(n) = h(t_o + i / P + n)$  where n=0,1,2,..., L-1, and L is the subchannel length. Its output  $a_i(n) = a(t_o + i / P + n)$  is given by :

$$a_i(n) = \sum_{k=0}^{L-1} s(k) h_i(n-k) + v_i(n)$$
(2)

where  $0 \le i \le P - 1$ , and  $v_i(n)$  are samples of  $v_i(t)$  corresponding to  $a_i(n)$ . In each symbol interval, a(n) of length P is received in vector form as :

$$\boldsymbol{a}(n) = [a_0(n), a_1(n), a_2(n), \dots, a_{p-1}(n)]^T$$
(3)

The channel impulse response can also be represented in vector form as :

$$\boldsymbol{h}(n) = [h_0(n), h_1(n), h_2(n), \dots, h_{p-1}(n)]^T$$
(4)

and the noise as :

$$\mathbf{v}(n) = \left[ v_0(n) , v_1(n) , v_2(n) , \dots , v_{p-1}(n) \right]^T$$
(5)

where  $\left[ \right]^{T}$  denoting transposition .

For the system under consideration, we have assumed the following:

- The input sequence s(t) is zero-mean with unit variance.
- The additive white Gaussian noise v(t) is zero-mean with variance  $\sigma^2$ .
- The sequences s(t) and v(t) are uncorrelated.

#### 2. a. Adaptation for the CMA equalizer

The adaptation algorithm for the weight of CMA equalizers is as follows [7]:

$$w_i(n+1) = w_i(n) + \mu_f \left( C - |y_i(n)|^2 \right) y_i(n) A_i^+(n) \qquad , 0 \le i \le P - 1 \qquad (6)$$

where  $y_i(n)$  and the  $w_i(n)$  are the outputs and weights of the i<sup>th</sup> equalizer of length (M<sub>1</sub>+M<sub>2</sub>+1). The outputs and weights in vectors the following is obtained:

$$\mathbf{y}(n) = [y_0(n), y_1(n), y_2(n), \dots, y_{p-1}(n)]^T$$
(7)

$$\boldsymbol{w}(n) = [w_0(n), w_1(n), w_2(n), \dots, w_{p-1}(n)]^T$$
(8)

Finally, C is the modulus given by [7]:

$$C = E\{|s(n)|^4\} / E\{|s(n)|^2\}$$
(9)

and  $\mu_f$  is the step size given by :

$$\mu_f = .001/E[|s(n)|^4] \tag{10}$$

 $A_i^*(n)$  is the corresponding input vectors with length equal to  $(M_1+M_2+1)$ .

#### 2.b. Adaptation for the MSPEF equalizer

Stacking previously N output vectors of the **CMA** equalizers each of length into an (*NP X 1*) vector, then the **MSPEF** input can be represented as:

$$\mathbf{y}_{N}(n) = [\mathbf{y}^{T}(n), \mathbf{y}^{T}(n-1), \mathbf{y}^{T}(n-2), \dots, \mathbf{y}^{T}(n-N+1)]^{T}$$
(11)

A *D*-step forward predictor of order N produces an estimate *s* (n) of the received symbol s(n) based on the N previous symbols  $y_N(n-D)$ . The **MSPEF** coefficients  $U_{N,D}$  are obtained from Yule Walker Equations [3] as :

$$U_{N,D} = E\{y_{N-D+1}(n-D)y^{+}(n)\}(R^{-1}_{N-D+1})$$
(12)

where  $R_{N-D+1}$  is the covariance of  $y_{N-D+1}(n)$ ,

()<sup>+</sup> and E() denoting transpose conjugation and statistical expectation respectively . A corresponding D-step forward prediction

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error filter (PEF) of order N produces the error  $f_{N,D}(n) = s(n) - s(n)$  as it output. The *D*-step prediction error is then :

$$f_{N,D}(n) = U_{N,D} y_{N+D}(n)$$
 (13)

The blind equalization method considered here is based on the output of D and (D+1)-step prediction error filter's [7], so the output of **CMA\_MSPEF** is given by:

$$F_{N,D}(n) = f_{N,D+1}(n) - f_{N,D}(n)$$
 (14)

where  $f_{N,D+1}(n)$  is the output of D+1-step predictor and  $f_{N,D}(n)$  is the output of D-step predictor.

# 3. Simulation Results

The proposed system was applied to 16-QAM and 4-QAM modulation techniques with additive white Gaussian noise. The performance of the CMA\_MSPEF system is obtained via simulation to extract the optimum step D, for the following three channels given below and which were considered in [1], [3] and [7].

Channel one: (0.1632+j0.2056),(-0.9491+j0.1524),(1+j0),

(0.2393-j0.0077),(0.0041-j 0.5634),

(0.0041-j 0.5634),(-0.2452+j0.7152),

(0.8+j0),(-0.2393+j0.1775).

Channel two: (-0.05+j0.27),(-.37-j0.01),(0.02-j0.07),

(-0.21-j0.03),(0.5-j0.6),(0.25+j0.27),

(-0.1+j0.38),(0.22-j0.05),(0.26+j0.14),

Channel three :(-0.005-j0.0004),(0.009+j0.0300),

(-0.024-j0.1040),(0.854+j0.5200), (-0.218+j0.2730),(0.049-j0.0740), (-0.016+j0.0200), (0.010+j0.0002), (-0.018-j0.0150),(0.048+j0.0520),

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(1+j0),(0.436+j0.1360),(-0.098+j0.0370),
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(0.032-j0.0100).

The parameters used in the simulation are:  $M_1=M_2=N=15$ . Depicted results shown in figures (2-10), give the MMSE versus iterations for different channels, different SNR and the employed modulation techniques.







Fig.3. Optimum Step D for Channel one and SNR=5 dB



Fig.4. Optimum Step D for Channel one and SNR=10 dB



Fig.5. Optimum Step D for Channel Two and SNR=0 dB



Fig.6. Optimum Step D for Channel Two and SNR=5 dB



Fig.7. Optimum Step D for Channel Two and SNR=10 dB



Fig.8. Optimum Step D for Channel Three and SNR=0 dB



Fig.9. Optimum Step D for Channel Three and SNR=5 dB



Fig.10. Optimum Step D for Channel Three and SNR=10 dB

Figures (2-10), show the optimum value of D for the three channels as follow:

(a) For SNR = 0 dB,

The optimum value of D is equal (7) for 4 QAM and 16 QAM.

(b) For SNR = 5 dB,

The optimum value of D is (8) for 4 QAM and it is equal (7) for 16 QAM

(c) For SNR = 10 dB,

The optimum value of D is (6) for 4 QAM and it is equal (7) for 16 QAM

From the previous values, it is clear that D is dependent on the SNR and the modulation technique even for the same channel. So optimum D=7 for **channel one**, optimum D=8 for **channel two**, and optimum D=6 for **channel three**. Furthermore, it varies from a channel to the other.

The MSE simulation comparative results for channel two versus the number of iterations (10000) for the CMA, LPEF, MSPEF and CMA\_MSPEF are depicted in fig.11.



Fig.11. Comparison between CMA, LPEF, MSPEF, and CMA\_MSPEF

Figure (11) shows that the proposed algorithm CMA\_MSPEF dominates the three algorithms CMA, LPEF, and MSPEF, for channel two, D = 8, 16 QAM, and SNR=10 dB. From this figure, it is clear that MSPEF dominates LPEF and CMA by 14.34 dB and 32.5 dB respectively. Secondly, it indicated that the CMA\_MSPEF system overcomes the MSPEF by 2.25 dB. Figures (12-17) indicate that the CMA\_MSPEF system dominates the MSPEF by 5 dB, 5 dB and 2.25 dB for SNR = 0, 5 and 10 dB respectively for 16-QAM, and by 8 dB, 5 dB and 4 dB for 4-QAM under the same SNR's respectively.



Fig.12. Covergence Curve for MSPEF, and CMA\_MSPEF for 16 QAM, and Channel Two, with SNR=0 dB, and D=8.

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Fig.13. Covergence Curve for MSPEF, and CMA\_MSPEF for 16 QAM, and Channel Two, with SNR=5 dB, and D=8.



Fig.14. Covergence Curve for MSPEF, and CMA\_MSPEF for 16 QAM, and Channel Two , with SNR=10 dB, and D=8.

Also for the same channel, D = 8, 16 QAM, and SNR=0, 5, 10 dB, we notice the figures (12-14) which show that also the proposed algorithm CMA\_MSPEF dominates the algorithm MSPEF.



Fig.15. Covergence Curve for MSPEF, and CMA\_MSPEF for 4 QAM, and Channel Two, with SNR=0 dB, and D=8.



Fig.16. Covergence Curve for MSPEF, and CMA\_MSPEF for 4 QAM, and Channel Two, with SNR=5 dB, and D=8.



Fig.17. Covergence Curve for MSPEF, and CMA\_MSPEF for 4 QAM, and Channel Two, with SNR=10 dB, and D=8.

Figures (15-17), show that the proposed algorithm CMA\_MSPEF dominate the algorithm MSPEF for different SNR (0, 5, 10 dB), and D=8 for 4 QAM.

# 4. Convolution

In this paper, a new CMA\_MSPEF blind equalizer which uses two techniques constant modulus algorithm cascaded with multistep prediction error filter. This algorithm to overcome slow convergence of the conventional equalizers and to test the performance of this algorithm and to extract the optimum step value. It is clear that a cascade of CMA\_MSPEF provides good performance. We can conclude that the CMA\_MSPEF system is strongly recommended for its appreciable gain in performance when we use the optimum step calculated..

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